

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ISHII, et al.

Serial No.: Not Yet Assigned

Filed: July 14, 2006

Title: Negative Electrode for Lithium Secondary Battery and Lithium Secondary Battery

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

July 14, 2006

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of the documents listed in the attached form equivalent to Form(s) PTO/SB/08A and/or PTO/SB/08B for the Examiner's consideration.

This information disclosure statement is being submitted with the new application.

To the extent that the documents listed on the attached form equivalent to Form(s) PTO/SB/08A and/or PTO/SB/08B are not in the English language, the requirement of 37 CFR 1.98(a)(3) for a concise explanation of the relevance is satisfied by an English Language abstract.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

1204.46402X00  
16 JUL 2005

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (1204.46402X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

  
William I. Solomon  
Registration No. 28,565

WIS/aam  
1300 North Seventeenth Street  
Suite 1800  
Arlington, VA 22209  
Telephone: (703) 312-6600  
Fax: (703) 312-6666

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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<p><b>Complete if Known</b></p> <p>Application Number</p> <p>Filing Date</p> <p>First Named Inventor</p> <p>Art Unit</p> <p>Examiner Name</p>	
Sheet	1	of	1	Attorney Docket Number	1204.46402X00

## U.S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP 2003-197182	07/11/2003	Samsung SDI Co. Ltd.		Abs
		JP 2002-175807	06/21/2002	GS-Melcotec Co. Ltd.		Abs
		JP 11-217266	08/10/1999	Hitachi Chem. Co. Ltd.		Abs
		JP 10-236808	09/08/1998	Hitachi Chem. Co. Ltd.		Abs
		JP 05-290833	11/05/1993	Matsushita Electric Ind. Co. Ltd		Abs
		JP 2002-222648	08/09/2002	Toshiba Corp.		Abs
		JP 11-288716	10/19/1999	Hitachi Maxell Ltd.		Abs
		JP 57-208079	12/21/1982	Sanyo Electric Co. Ltd.		Abs
		JP 2000-260479	09/22/2000	Toyota Central Res. & Dev. Lab. Inc		Abs

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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